Scatter, cluster, scatter, model

Nathan Reading NC State University

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Scattering diagrams

Cluster algebras

Combinatorial models

Mutation

Scattering diagrams arose from mirror symmetry.

Cluster algebras arose in the study of total positivity and found surprising connections to many algebraic and geometric areas.

Cluster scattering diagrams were applied to prove longstanding conjectures about cluster algebras.

More recently, a connection was discovered with scattering amplitudes in physics.

An important output of scattering diagrams are theta functions.

Today's goals:

- Introduce and connect scattering diagrams and cluster algebras.
- Mention the connection to scattering amplitudes.
- Survey the state of complete combinatorial models for cluster algebras and cluster scattering diagrams.

Section 1: Scattering diagrams

Basic setup

Summary:

- skew-symmetric matrix,
- vector space and its dual,
- integer points \leftrightarrow Laurent monomials.

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- skew-symmetric matrix,
- vector space and its dual,
- integer points \leftrightarrow Laurent monomials.

Details:

- B is an $n \times n$ skew-symmetric integer "exchange matrix"
- V real vector space, basis $\alpha_1, \ldots, \alpha_n$
- V^* its dual space, basis $ho_1,\ldots,
 ho_n$, dual to lpha

•
$$\lambda = \sum_{i=1}^{n} c_i \rho_i \quad \leftrightarrow \quad x^{\lambda} = x_1^{c_1} \cdots x_n^{c_n}$$

• $\beta = \sum_{i=1}^{n} d_i \alpha_i \quad \leftrightarrow \quad \hat{y}^{\beta} = \hat{y}_1^{d_1} \cdots \hat{y}_n^{d_n}$

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Scattering diagrams



A scattering diagram is a set of walls. Each wall is a codimension-1 cone in V^* , decorated with a scattering term—a formal power series in the \hat{y}_i .

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Details:

- Each wall is normal to a primitive, positive integer vector β .
- The scattering term is a univariate FPS in \hat{y}^{β} with constant term 1.
- A finiteness condition

Crossing a wall $(\mathfrak{d}, f_{\mathfrak{d}}(\hat{y}^{\beta}))$ acts on polynomials (or FPS):

$$egin{aligned} &x^\lambda \mapsto x^\lambda f_{\mathfrak{d}}^{\langle\lambda,\pmeta
angle} \ &\hat{y}^\phi \mapsto \hat{y}^\phi f_{\mathfrak{d}}^{\langle\pmeta,B\phi
angle} \end{aligned}$$

Take "-" if crossing with β or "+" if crossing against β . Path-ordered product \mathfrak{p}_{γ} : compose these along a path γ .

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$$\begin{array}{c|c} 1+\hat{y}_1 & \text{Let's try this in an example } (B=\left[\begin{smallmatrix} 0 & 1 \\ -1 & 0 \end{smallmatrix}\right]):\\ \hline 1+\hat{y}_2 & 1+\hat{y}_2 & \\ \hline 1+\hat{y}_1 & \end{array}$$

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Equivalence and consistency

Two scattering diagrams are equivalent if they give the same path-ordered products.

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Example. Does the diagram below have 2 walls or 4?

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Scatter, cluster, scatter, model

Example. Does the diagram below have 2 walls or 4?

A scattering diagram is consistent if path-ordered products depend only on the endpoints of the path.

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Example. As we saw, this scattering diagram is not consistent



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We can make it consistent by adding one wall.

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Cluster scattering diagrams

Theorem (Gross, Hacking, Keel, Kontsevich, 2014). Given a skew-symmetric integer matrix B, there is unique (up to equivalence) consistent scattering diagram $\text{Scat}^T(B)$ (the cluster scattering diagram) such that

- \mathfrak{D} contains the walls $(\alpha_i^{\perp}, 1 + \hat{y}_i)$.
- All other walls are outgoing.

A wall $(\mathfrak{d}, f_{\mathfrak{d}}(\hat{y}^{\beta}))$ is outgoing if it does not contain $B\beta$.

Example. The cluster scattering diagram for $B = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$.



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Example. The cluster scattering diagram for $B = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$.

The point: The wall we added is outgoing.



(Vaguely Stated) Theorem (R., 2017). A consistent scattering diagram cuts space into a complete (not necessarily finite) fan.

Cluster scattering fan: The complete fan cut out by the cluster scattering diagram.











For every rational vector $\lambda \in V^*$, there is theta function ϑ_{λ} , defined as a sum over monomials labeling broken lines in the cluster scattering diagram.



8

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8



Recap of Section 1: Scattering diagrams

A scattering diagram is a collection of walls. A wall is $(\mathfrak{d}, f_{\mathfrak{d}}(\hat{y}^{\beta}))$

- \mathfrak{d} is a codimension-1 cone.
- β is a positive integer normal vector.
- f_0 is the scattering term, a formal power series in \hat{y}^{β} .

Path-ordered product: at each wall crossing, replaces each monomial by itself times a power of the scattering term f_{0} .

Consistent scattering diagram: path-ordered products depend only on endpoints.

Cluster scattering diagram: Start with coordinate hyperplanes. \exists ! way to add "outgoing" walls to get a consistent scattering diagram. Theta functions: ϑ_{λ} for each rational λ . Sum over "broken lines".

Questions?

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Section 2: Cluster algebras

Cluster algebras

Start with an initial seed consisting of initial cluster variables $x_1, \ldots x_n$ and a skew-symmetric integer matrix B.

Mutation: an operation that takes a seed and gives a new seed.

- There are *n* "directions" for mutation.
- Mutation does two things:
 - switches out one cluster variable, replaces it with a new one;
 - changes *B* (and some extra rows) by matrix mutation.

The result is a new seed.

Mutation is involutive.

Cluster algebras (continued)

Do all possible sequences of mutations, and collect all the cluster variables which appear.



The cluster algebra for the given initial seed is the subalgebra of \mathcal{F} generated by all cluster variables.

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Cluster algebras

Mutation details

Write $[a]_+$ for max(a, 0). $B' = \mu_k(B)$ is:

$$b_{ij}' = egin{cases} -b_{ij} & ext{if } k \in \{i,j\}, \ b_{ij} + ext{sgn}(b_{kj})[b_{ik}b_{kj}]_+ & ext{otherwise.} \end{cases}$$

We want principal coefficients, meaning we actually work with $\begin{bmatrix} B \\ I \end{bmatrix}$ but we only mutate in directions $1, \ldots, n$.

Mutating (x_1, \ldots, x_n) in direction k means keeping x_i for $i \neq k$ and replacing x_k by x'_k according to the exchange relations

$$x_k x'_k = \prod_{i=1}^n x_i^{[b_{ik}]_+} y_i^{[b_{(n+i)k}]_+} + \prod_{i=1}^n x_i^{[-b_{ik}]_+} y_i^{[-b_{(n+i)k}]_+}$$

The y_1, \ldots, y_n are certain indeterminates. Scatter, cluster, scatter, model Cluster algebras

Cluster variables example

Exchange matrix:
$$B = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$
, extended to $\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$.

The cluster variables are

$$x_1, x_2, \frac{x_2 + y_1}{x_1}, \frac{x_1y_1y_2 + x_2 + y_1}{x_1x_2}, \frac{1 + x_1y_2}{x_2}$$
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 $x_1, x_2, x_1^{-1}x_2(1+\hat{y}_1), x_1^{-1}(1+\hat{y}_1+\hat{y}_1\hat{y}_2), x_2^{-1}(1+\hat{y}_2)$

Scatter, cluster, scatter, model

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One of these might look familiar. It was the path-ordered product applied to x^{-1} in the cluster scattering diagram example for $B = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$.

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Cluster algebras and scattering diagrams (Principal coefficients)

Theorem (Fomin-Zelevinsky, 2007). Each cluster variable v is a Laurent monomial $x^{\mathbf{g}(v)}$ times a polynomial in the \hat{y}_i . (The vector $\mathbf{g}(v)$ is the **g**-vector of v.)

Theorem (GHKK, 2014). Part of the cluster scattering diagram cuts out the



cluster fan, whose rays are spanned by the **g**-vectors of cluster variables and whose cones are spanned by the **g**-vectors of clusters.

Cluster monomial: monomial in the clus. variables in some cluster. \exists cluster monomial for every rational point in the cluster fan.

Theorem (GHKK, 2014). Any cluster monomial v is $\vartheta_{\mathbf{g}(v)}$.

Theorem (morally GHKK, 2014, but R. had fun noticing it, 2017). Let v be a cluster monomial, let γ be a path from "near" $\mathbf{g}(v)$ to the positive cone. Then $v = p_{\gamma}(x^{\mathbf{g}(v)})$ (path-ordered product). Scatter, cluster, scatter, model

From the previous slide (with some new terminology):

- Cluster monomials \leftrightarrow Theta functions ϑ_{λ} for λ in cluster fan.
- Define the return function ret_λ = p_γ(x^λ), for γ a path from "near" λ to the positive cone.

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Are they the same as theta functions?

Are they interesting?

Scatter, cluster, scatter, model

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Are they interesting? Yes.

Scatter, cluster, scatter, model

The cluster fan is the whole plane except for the ray spanned by [-1, 1]. Set $\lambda = [-1, 1]$. By definition, for some formal power series \mathcal{N} ,

$$\mathsf{ret}_{\lambda} = x_1^{-1} x_2 \cdot \mathcal{N}(\hat{y}_1, \hat{y}_2).$$

Number the cluster variables x_1, x_2, \ldots clockwise from the initial cluster.

Each x_i is $x^{\mathbf{g}(x_i)} \cdot F_i$, for some polynomial F_i in the \hat{y} . **Theorem** (R., 2017)

$$\mathcal{N}(\hat{y}_1, \hat{y}_2) = \lim_{i \to \infty} \frac{F_{i+1}}{F_i} = \frac{1 + \hat{y}_1 + \hat{y}_1 \hat{y}_2 + \sqrt{(1 + \hat{y}_1 + \hat{y}_1 \hat{y}_2)^2 - 4\hat{y}_1 \hat{y}_2}}{2}$$



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Scatter, cluster, scatter, model



Aside: Scattering amplitudes

Empirically measurable probability density in *n*-space. In principle, computed/approximated as a sum/integral over Feynman diagrams. In practice, computations are forbidingly complex.

Amplitudes can be encoded compactly as sums of tensor products of certain "symbols" (certain functions of x_1, \ldots, x_n).

Current hot idea: Can we skip Feynman diagrams and directly find symbols and how symbols combine as tensor products? We need a a machine that makes functions.

In some cases, "symbols" are cluster variables and compatibility describes which symbols appear together in tensor products.

In more complicated cases, there are irrational symbols, related to theta functions and return functions. Compute these? Use the cluster scattering fan to describe which symbols appear together?

Upshot: This is another reason to want combinatorial models.

Scatter, cluster, scatter, model

Recap of Section 2: Cluster algebras

Cluster algebras: A multi-directional recurrence produces "clusters" of cluster variables, which are rational functions in the x_i and y_i .

Cluster monomials: Monomials in the cluster variables in a cluster.

Each cluster monomial v is $x^{\mathbf{g}(v)} \cdot (a \text{ polynomial in the } \hat{y}_i)$. The vector $\mathbf{g}(v)$ is the **g**-vector of v.

This fits into the cluster scattering diagram picture. Each v is:

- a return function $\operatorname{ret}_{\mathbf{g}(v)}$.
- a theta function $\vartheta_{\mathbf{g}(\mathbf{v})}$.

 $\operatorname{ret}_{\lambda}$ and ϑ_{λ} make sense even when λ is not the **g**-vector of a cluster monomial. But computing them takes work.

Questions?

Section 3: Combinatorial models

Given a skew-symmetric (skew-symmetrizable) B, and some specific B in particular, it would be good to know:

- the cluster variables;
- the scattering diagram;
- the scattering fan;
- the theta functions ϑ_{λ} ; and
- the return functions ret_{λ} .

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We want combinatorial models for this.

Finite type

Finite type: there are only finitely many cluster variables. **Theorem** (Fomin-Zelevinsky 2002).

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- Cluster variables are Laurent polynomials. Their denominator vectors are almost positive roots in the root system for A.
- {cluster variables} \leftrightarrow {almost positive roots} is a bijection.
- A combinatorial description of "adjacency".

Example: $B = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$, $A = \begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix}^{-\alpha_1} \xrightarrow{\alpha_1 + \alpha_1} \alpha_1$ The cluster variables are

$$x_1, x_2, \frac{x_2 + y_1}{x_1}, \frac{x_1 y_1 y_2 + x_2 + y_1}{x_1 x_2}, \frac{1 + x_1 y_2}{x_2}$$

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Combinatorial models

Compatibility ("adjacency") of almost positive roots:

- $-\alpha_i$ compatible with β iff β is a combination of the *other* simple roots.
- Compatibility is invariant under a certain "rotation" of the almost positive roots (a piecewise linear map that is a deformation of a Coxeter element).

Example (and model).

$$B = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}$$
$$A = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 \end{bmatrix}$$

Simples: $\{\alpha_1, \alpha_2, \alpha_3\}$

Other positive roots: $\alpha_1 + \alpha_2$, $\alpha_2 + \alpha_3$, $\alpha_1 + \alpha_2 + \alpha_3$.



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$$B = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}$$
$$A = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 \end{bmatrix}$$

Simples: $\{\alpha_1, \alpha_2, \alpha_3\}$

Other positive roots: $\alpha_1 + \alpha_2$, $\alpha_2 + \alpha_3$, $\alpha_1 + \alpha_2 + \alpha_3$.



Scatter, cluster, scatter, model

Combinatorial models

Compatibility ("adjacency") of almost positive roots:

- $-\alpha_i$ compatible with β iff β is a combination of the *other* simple roots.
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Example (continued)

The 3-dimensional associahedron



Scatter, cluster, scatter, model

Combinatorial models
Another approach to finite type (R., Speyer)

Exchange matrix $B \leftrightarrow$ Cartan matrix A and Coxeter element c. Coxeter element: product of the simple reflections in some order. Example: $B = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}$, $A = \begin{bmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 \end{bmatrix}$, $c = s_1 s_2 s_3$

The cluster fan is the *c*-Cambrian fan, which is constructed using the combinatorics of reduced words for *c*-sortable elements in the Weyl group *W*.

The walls of the *c*-Cambrian fan are certain pieces (called shards) of the reflecting hyperplanes for W.











Scatter, cluster, scatter, model



Scatter, cluster, scatter, model









Scatter, cluster, scatter, model



Scatter, cluster, scatter, model





Scatter, cluster, scatter, model









Affine type

Two equivalent definitions (for 3×3 and larger):

- *B* is mutation equivalent to an acyclic exchange matrix whose associated Cartan matrix is of affine type.
- Infinitely many cluster variables, but linear growth.

We can model this completely using the

- Affine almost positive roots model (R.-Stella), and the
- Doubled Cambrian fan construction (R.-Speyer).

In particular, the models

- Build the cluster scattering diagram/fans explicitly using shards (with Stella),
- Construct all theta functions (with Stella, in progress), and
- Construct the return function $\operatorname{ret}_{\lambda}$ for λ in the direction of the limiting ray (in progress).

Scatter, cluster, scatter, model

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• Start with a finite root system (A₂ in this case)



- Start with a finite root system (A₂ in this case)
- Construct an affine root system (\tilde{A}_2)



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• Construct an affine root system (\tilde{A}_2)





• Construct an affine root system (\tilde{A}_2) (including imaginary roots)



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Observation: The real roots limit, from two sides, to the direction of the imaginary root.

(This is true in general affine type.)

A.p. Schur roots and clus. scattering diagrams (R., Stella)

Almost positive Schur roots appear in two ways in cluster scattering diagrams:

- 1. They index the rays of the fan.
 - There is a piecewise-linear bijection from real a.p. Schur roots to **g**-vectors of cluster variables.
 - The one imaginary a.p. Schur root corresponds to a "limiting ray" outside the cluster fan.
 - There is a combinatorial description of "compatibility" similar to finite type.
- 2. {Positive Schur roots}

= {normal vectors to walls}.

- Exactly one wall normal to each root.
- There is one limiting "imaginary wall".
- All other walls are walls of the cluster fan.

Affine scattering fan example



Affine type details: Doubled Cambrian fans (R., Speyer)

Cambrian fans can be defined even for infinite Coxeter groups. In every case, the Cambrian fan is a subfan of the cluster fan.

In the infinite case, they are not the whole cluster fan.

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In the affine case, the entire cluster fan can be constructed from two overlapping pieces: The *c*-Cambrian fan and the antipodal opposite of the c^{-1} -Cambrian fan.
















































































Theta functions and return functions for the limiting ray

Take λ to be the shortest integer vector in the limiting ray. Here are some "Theorems in progress" (some with Stella):

- $\bullet\,$ We give an explicit formula for ϑ_λ as a linear combination of three terms.
 - Two terms are of the form

(monomial in the y_i 's) \cdot (cluster variable)

• One term is a product of cluster variables.

 For a particular sequence ..., x₋₁, x₀, x₁,... of cluster variables and a particular choice of exponents a₁,..., a_n ∈ Z,

$$\operatorname{ret}_{\lambda} = \lim_{k \to \infty} \prod_{i=1}^{n} x_{kn+i}^{a_i} = \lim_{k \to -\infty} \prod_{i=1}^{n} x_{kn+i}^{-a_i}.$$

Scatter, cluster, scatter, model

Combinatorial models

Surfaces

There is no (cluster-algebraically) intrinsic definition here. Rather, there is a model involving triangulated surfaces that covers many, but certainly not all, cluster algebras.

We start with an orientable surface, triangulated using n arcs. The vertices of the triangulation are called marked points.

- Cluster variables correspond to non-self-intersecting arcs connecting marked points.
- Other theta functions correspond to non-self-intersecting closed curves.
- "Adjacency" of these functions is non-intersection.

Return functions ret_{λ} have not been studied here.















Plabic graphs

 SL_3 webs

Section 4: Mutation

Recall matrix mutation in direction k:

 $B' = \mu_k(B)$ is:

$$b_{ij}' = egin{cases} -b_{ij} & ext{if } k \in \{i,j\}; \ b_{ij} + ext{sgn}(b_{kj})[b_{ik}b_{kj}]_+ & ext{otherwise.} \end{cases}$$

(Recall also $[a]_+$ means max(a, 0).)

For each *B* and each sequence **k** of indices, there is a mutation map $\eta_{\mathbf{k}}^{B}$, which takes a vector **a**, places it below *B* as a new row, does mutations in indices **k**, and reads off the new last row.

Scatter, cluster, scatter, model

Mutation symmetry

Key fact: The mutation map $\eta_{\mathbf{k}}^{B^{T}}$ is an isomorphism from the cluster scattering fan for *B* to the cluster scattering fan for $\mu_{\mathbf{k}}(B)$. (With a little more work, you can pull along cluster scattering *diagrams*, broken lines, and theta functions.)

A mutation symmetry of *B* is a sequence **k** of indices such that $\mu_{\mathbf{k}}(B) = B$.

Upshot: If **k** is a mutation symmetry, then $\eta_{\mathbf{k}}^{B^{T}}$ is an automorphism of the cluster scattering fan/diagram for *B*.

In some sense, the Key Fact is due to GHKK, but their mutation definition hides mutation symmetry. (Do a mutation symmetry their way, and you need a linear map to get back to the cluster scattering fan of B.)

Greg Muller was first to mutate scattering diagrams this way. Stella and I wrote down this mutation of theta functions.

Stella and I are working to compute theta functions and structure constants for multiplication of theta functions in affine type.

I'll sketch the first way that mutation symmetry helps. (Other uses of mutation symmetry, which we won't talk about, must look more subtly at how broken lines mutate.)

Theorem (R., Stella 2021). Suppose **k** is a mutation symmetry and suppose λ and ν are in finite $\eta_{\mathbf{k}}^{B^{T}}$ -orbits. Then $\vartheta_{\lambda} \cdot \vartheta_{\nu}$ is a linear combination of theta functions indexed by vectors in finite $\eta_{\mathbf{k}}^{B^{T}}$ -orbits.

The hypotheses of the theorem are beyond what I can introduce today, but include affine type. (For experts: Θ is the whole space.)

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Let's look at an example.

Affine scattering fan example (again)



Mutation symmetry and structure constants (continued)

Theorem (R., Stella 2021). If λ and ν are in finite $\eta_{\mathbf{k}}^{B^{T}}$ -orbits, then $\vartheta_{\lambda} \cdot \vartheta_{\nu}$ is a combination of theta functions indexed by vectors in finite $\eta_{\mathbf{k}}^{B^{T}}$ -orbits.

Proof idea. Hypotheses imply that $\vartheta_{\lambda} \cdot \vartheta_{\nu}$ has a unique expression as a finite linear combination of theta functions:

$$\vartheta_{\lambda} \cdot \vartheta_{\nu} = \sum_{\tau \in \mathcal{T}} c_{\tau} \vartheta_{\tau}.$$

A detailed description of mutation of theta functions + the fact that λ is in a finite $\eta_{\mathbf{k}}^{B^{T}}$ -orbit implies that $(\eta_{\mathbf{k}}^{B^{T}})^{\ell}(\vartheta_{\lambda})$ is ϑ_{λ} times a monomial in the "coefficients", for some ℓ . Same for ν .

So: Applying the right power of $\eta_{\mathbf{k}}^{B^{T}}$ fixes $\vartheta_{\lambda} \cdot \vartheta_{\nu}$ (up to a coefficient). Therefore it fixes every theta-function that appears in $\sum_{\tau \in T} c_{\tau} \vartheta_{\tau}$.

Scatter, cluster, scatter, model

Mutation

Thank you for listening.

- Scattering fans (R. 2020).
- A combinatorial approach to scattering diagrams (R. 2020).

An affine almost positive roots model (R., Stella 2020).

Cluster scattering diagrams of acyclic affine type (R, Stella 2022).

Cambrian frameworks for cluster algebras of affine type (R., Speyer 2018).

...and more to come soon (some with Stella).