

An introduction to Simplicial-map Neural Networks

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REXASI PRO

Reliable & Explainable Swarm Intelligence
for People with Reduced Mobility

Consortium

SPINDOX LABS srl | IT - Coordinator



CNR | IT



DFKI | DE



V-RESEARCH | NL



AITEK SPA | IT



KCL | UK



USE | ES



HOVERING SOLUTIONS | ES



EURONET | BE



SUPSI | CH



SCUOLA DI ROBOTICA | IT





The Project



Project Idea

REliable eXplAinable Swarm Intelligence for People with Reduced mObility

To design a novel framework in which safety, security, ethics, and explainability are entangled to develop a Trustworthy Artificial Swarm Intelligence solution.

The framework will make a trustworthy collaboration among a swarm formed by autonomous wheelchairs and flying robots to allow a seamless door-to-door experience for people with reduced mobility.

This goal will result in benefits for these people, their families, caregivers, scientific community, industry, and environment, creating a scientific, economic, technological and social factors.



Project Details

Duration

01/10/2022 –
30/09/2025

Type of Action

RIA

Grant Amount

€ 3.551.158.50

Call

HORIZON-CL4-2021-
HUMAN-01-01

Use Cases

3 main use cases



Navigation incrowded environment

DFKI

AI for Autonomous Wheelchair in different scenarios: 1) Safety Assistant; 2) Driving Assistant; 3) Route Assistant; 4) Social Navigation. To adopt this technology in real-life scenario, new trustable social navigation approaches are required.



Flying robot mapping

HOVERING SOLUTIONS

Flying robots capable of flying autonomously in an indoor/underground environment and generating a map of the building that would be latter used by the wheelchair. The flying robot will collaborate with an orchestrator to optimize time and energy consumption.



Collaborative Navigation

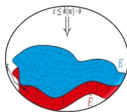
CNR

Mixed collaborative indoor environment where the swarm communicate with each other in emergency cases. The swarm would include the wheelchairs, the flying robots, the orchestrator, and intelligent cameras for people detection and crowd monitoring.



USE role and team

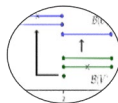
CIMAGROUP



Dataset size reduction

USE

Dataset optimization by removing redundant data but ensuring shape and the model performance.



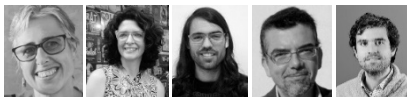
Critical Configuration detection

USE

Detection critical configuration in the dynamical system of the navigation process by the used of Persistent homology and partial matchings between the Persistent barcodes.



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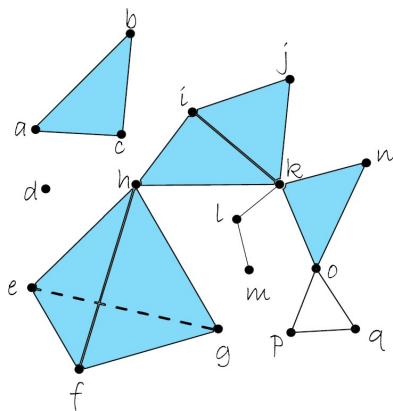
Universal approximation theorem

Theorem (Cybenko, 1989, Hornik, 1991)

Let A be any compact subset of \mathbb{R}^n . The space of real-valued continuous functions on A is denoted by $C(A)$. Then, given any $\varepsilon > 0$ and any continuous function $g \in C(A)$, there exists a one-hidden layer feedforward network $\mathcal{N} : \mathbb{R}^n \rightarrow \mathbb{R}$ defined as $\mathcal{N}(x) = f_2 \circ f_1(x)$ with $f_1(y) = \phi_1(W^{(1)}; y; b_1)$ and $f_2(y) = W^{(2)}y$, such that \mathcal{N} is an approximation of the function g , that is, $\|g - \mathcal{N}\| < \varepsilon$.

Simplicial complexes and simplicial maps

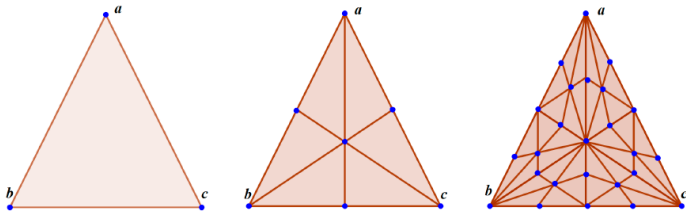
(1/4)



Things to recall:
 Face
 Maximal simplex
 Star
 Pure simplicial complex

A simplicial complex is a set of simplices such that each shared face is a simplex.

Simplicial complexes and simplicial maps (2/4)



Definition

Let K be a simplicial complex with vertices in \mathbb{R}^d . The barycentric subdivision SdK can be written as an ordered set $\{w_0, \dots, w_k\}$ such that $w_i = \text{bar}(\mu_i)$, being μ_i a face of $\mu_j \in K$ for $i, j \in \{0, \dots, k\}$ and $i < j$.

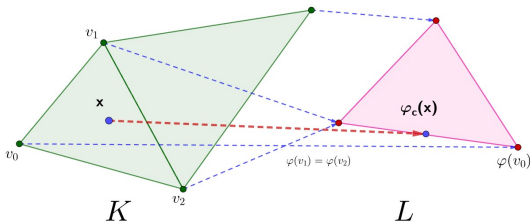
Simplicial complexes and simplicial maps

Definition

Given two simplicial complexes K and L , a vertex map $\varphi^{(0)} : K^{(0)} \rightarrow L^{(0)}$ is a function from the vertices of K to the vertices of L such that for any simplex $\sigma \in K$, the set

$$\varphi(\sigma) := \{v \in L^{(0)} : \exists u \in \sigma, \varphi^{(0)}(u) = v\}$$

is a simplex of L .



Simplicial complexes and simplicial maps (3/4)

Definition

Given two simplicial complexes K and L , a vertex map $\varphi^{(0)} : K^{(0)} \rightarrow L^{(0)}$ is a function from the vertices of K to the vertices of L such that for any simplex $\sigma \in K$, the set

$$\varphi(\sigma) := \{v \in L^{(0)} : \exists u \in \sigma, \varphi^{(0)}(u) = v\}$$

is a simplex of L .

The simplicial map $\varphi_c : |K| \rightarrow |L|$ induced by the vertex map $\varphi^{(0)}$ is a continuous function defined as:

$$\varphi^c(x) := \sum_{i=0}^k \lambda_i \varphi^{(0)}(u_i)$$

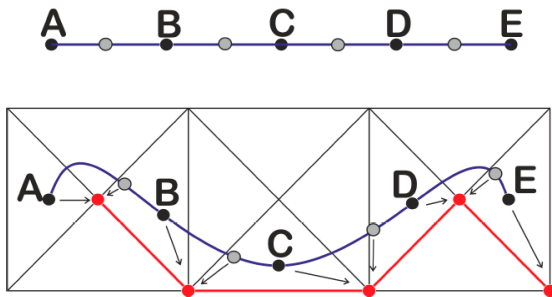
with $\lambda_i \geq 0$ such that $\sum_{i=0}^k \lambda_i = 1$ and $x = \sum_{i=0}^k \lambda_i u_i$ where $\sigma = \{u_0, \dots, u_k\}$ is a simplex of K such that $x \in |\sigma|$.

Simplicial complexes and simplicial maps

(4/4)

Theorem (Brower, 1910-1912)

If $g : |K| \rightarrow |L|$ is a continuous function, then there exists a sufficiently large $t > 0$ such that $\varphi_c : |Sd^t K| \rightarrow |L|$ is a simplicial approximation of g .



$$g(|\text{st } v|) \subset |\text{st } \varphi(v)| \text{ (star condition)}$$

The simplicial approximation extension

$$\begin{array}{ccc}
 |K| & \xrightarrow{g} & |L| \\
 \uparrow & & \uparrow \\
 K & & L \\
 \downarrow Sd & & \downarrow Sd \\
 Sd^{t_1} K & & Sd^{t_2} L \\
 \downarrow & & \downarrow \\
 |Sd^{t_1} K| & \xrightarrow{\varphi_c} & |Sd^{t_2} L|
 \end{array}$$

Proposition

Given $\varepsilon > 0$ and a continuous function $g : |K| \rightarrow |L|$ between the underlying spaces of two simplicial complexes K and L , there exists $t_1, t_2 > 0$ such that $\varphi_c : |Sd^{t_1} K| \rightarrow |Sd^{t_2} L|$ is a simplicial approximation of g and $\|g - \varphi_c\| \leq \varepsilon$.

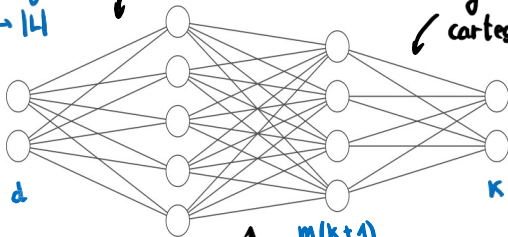
New proof & model

$$f: \mathbb{R}^d \rightarrow \mathbb{R}^k$$

$$\varphi_c: |K| \rightarrow |L|$$

Cartesian to barycentric

Barycentric to cartesian



n number of maximal simplices in K

$n(d+1)$

↑
simplicial map

$m(k+1)$

m number of maximal simplices in L

$$\begin{array}{ccc}
 X & \xrightarrow{g} & Y \\
 \downarrow \tau_K & & \downarrow \tau_L \\
 |K| & \xrightarrow{\varphi_c} & |L| \\
 \uparrow & & \uparrow \\
 K & & L \\
 \downarrow \text{Sd} & & \downarrow \text{Sd} \\
 \text{Sd}^{t_1} K & & \text{Sd}^{t_2} L \\
 \uparrow & & \uparrow \\
 (\text{Sd}^{t_1} K)^{(0)} & \xrightarrow{\varphi} & (\text{Sd}^{t_2} L)^{(0)}
 \end{array}$$

Theorem

Given a simplicial map $\varphi_c: |K| \rightarrow |L|$ where K and L are composed by maximal simplices with maximal dimension. A two-hidden-layer feedforward network \mathcal{N}_φ such that $\varphi_c(x) = \mathcal{N}_\varphi(x)$ for all $x \in |K|$ can be explicitly defined.

For triangulations

We can follow what we did with the simplicial approximation theorem and extend it to get an approximation as close as desired:

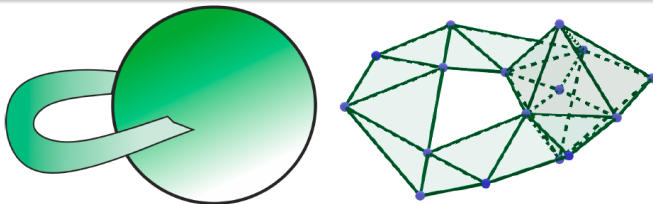
Theorem

Given a continuous function $g : |K| \rightarrow |L|$ and $\varepsilon > 0$, a two-hidden-layer feedforward network \mathcal{N} such that $\|g - \mathcal{N}\| \leq \varepsilon$ can be explicitly defined.

However, it works just for continuous functions between polyhedrons.

Definition

A triangulation of a topological space X consists of a simplicial complex K and a homeomorphism $\tau : X \rightarrow |K|$. We say that the triangulation is finite if K is finite.



For triangulations

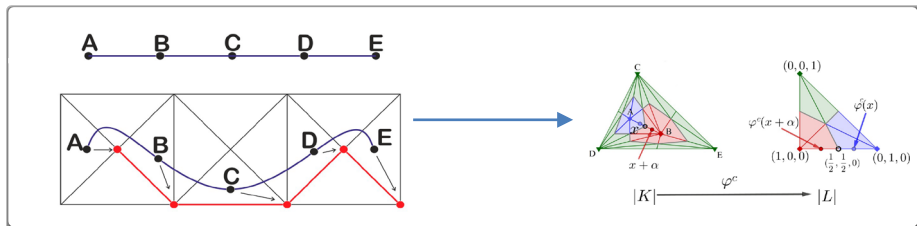
Proposition

Let X and Y be two triangulable topological spaces, $g : X \rightarrow Y$ a continuous map, and $\varepsilon > 0$. Then, there exists two triangulations (K, τ_K) and (L, τ_L) of X and Y , respectively, and a simplicial approximation

$\varphi_c : |Sd^{t_1} K| \rightarrow |Sd^{t_2} L|$ such that $\|g - \tau_L^{-1} \circ \varphi_c \circ \tau_K\| \leq \varepsilon$.

$$\begin{array}{ccc}
 X & \xrightarrow{g} & Y \\
 \downarrow \tau_K & & \downarrow \tau_L \\
 |K| & \xrightarrow{\varphi_c} & |L| \\
 \uparrow & & \uparrow \\
 K & & L \\
 \downarrow Sd & & \downarrow Sd \\
 Sd^{t_1} K & & Sd^{t_2} L \\
 \uparrow & & \uparrow \\
 (Sd^{t_1} K)^{(0)} & \xrightarrow{\varphi} & (Sd^{t_2} L)^{(0)}
 \end{array}$$

SMNN for classification

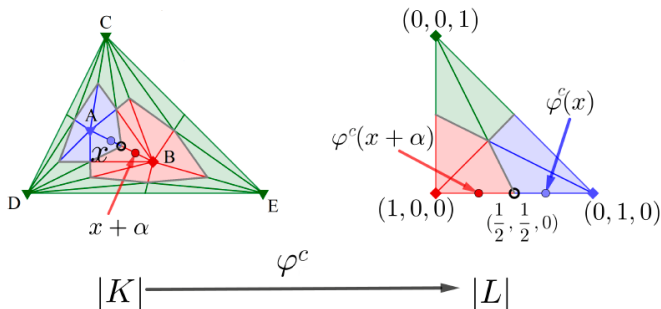


- The classes are encoded in a maximal simplex $|L|$.
- Simplicial map is defined between a triangulation of the input dataset and $|L|$.

We need:

- To specify the “region of action” of the NN.

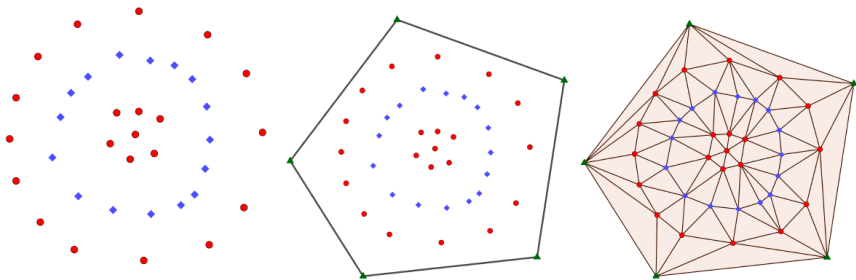
SMNN for classification



We need:

- A triangulation of the dataset.
- A maximal simplex to encode classes and predictions.
- A simplicial map.

SMNN for classification



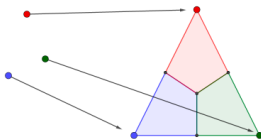
- 1 Find a convex polytope surrounding the data points.
- 2 Compute a Delaunay triangulation of the data points together with the vertices of the convex polytope.

SMNN for classification

Proposition

Let $d, k > 0$ be integers. Let L be the simplicial complex with only one maximal k -simplex $\sigma = \{v_0, \dots, v_k\}$ with $v_i = e_i^k \times 0$ for $i \in \{1, \dots, k\}$ and $v_0 = e_0^k \times 1$. Let $D \subset \mathbb{R}^d \times \mathbb{E}^k$ be a labelled dataset and let $V_{\mathcal{P}}$ be the vertices of a convex polytope \mathcal{P} such that $D_{\mathcal{P}} \subset \mathcal{P}$. Let us assume that $D_{\mathcal{P}}$ is in general position. Let $K = \mathcal{D}(D_{\mathcal{P}} \cup V_{\mathcal{P}})$. Then, the map $\varphi^{(0)} : K^{(0)} \rightarrow L^{(0)}$ defined as follows is a vertex map:

$$\varphi^{(0)}(u) := \begin{cases} \ell \times 0 & \text{if } (u, \ell) \in D \\ v_0 & \text{if } u \in V_{\mathcal{P}} \end{cases}$$



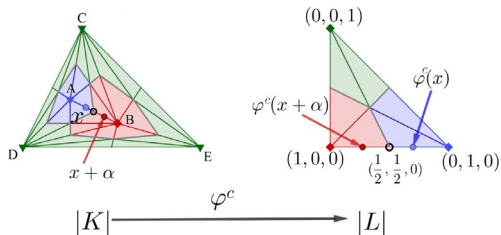
SMNN for Classification

Step 1: \mathcal{P} convex polygon surrounding data

Step 2: $K = \mathcal{D}(D_P \cup V_P)$

Step 3: L c -simplex (c number of classes)

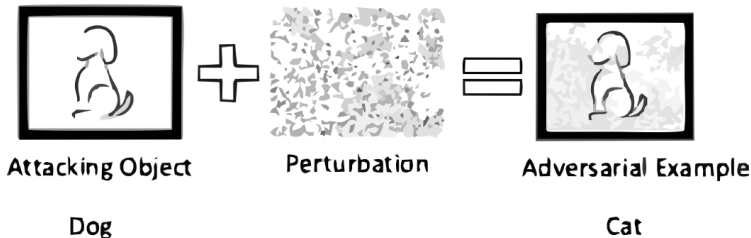
Step 4: $\varphi: K \rightarrow L$



Adversarial examples

Definition

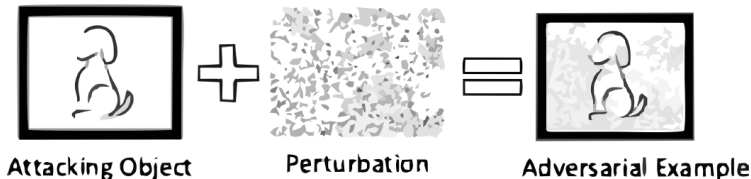
Let $d, k > 0$ be integers. Let $D \subset \mathbb{R}^d \times \mathbb{E}^k$ be a labelled dataset and \mathcal{N} a neural network that characterizes D . Let $B(r) = \{\alpha \in \mathbb{R}^d : \|\alpha\| \leq r\}$ being $\|\cdot\|$ a norm on \mathbb{R}^d . Let us suppose that $x \in \mathbb{R}^d$ has label ℓ . Then, an adversarial example of size r is defined as $x' = x + \alpha$ with $\alpha \in B(r)$ such that x' has label ℓ' with $\ell' \neq \ell$. A neural network is called robust to adversarial attacks of size r if no labelled point $x \in \mathbb{R}^d$ has an adversarial example of size r .



Adversarial examples

Definition

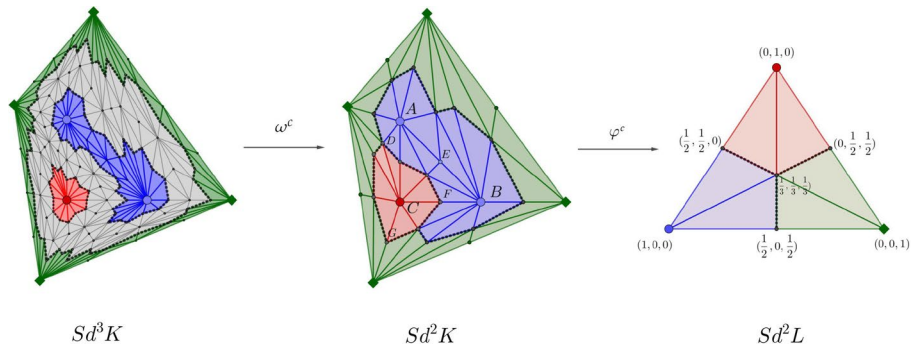
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Proposition

We have that \mathcal{N}_φ is not robust to adversarial attacks of size r for $0 < r < d(T_\varphi, \Gamma_\varphi)$.

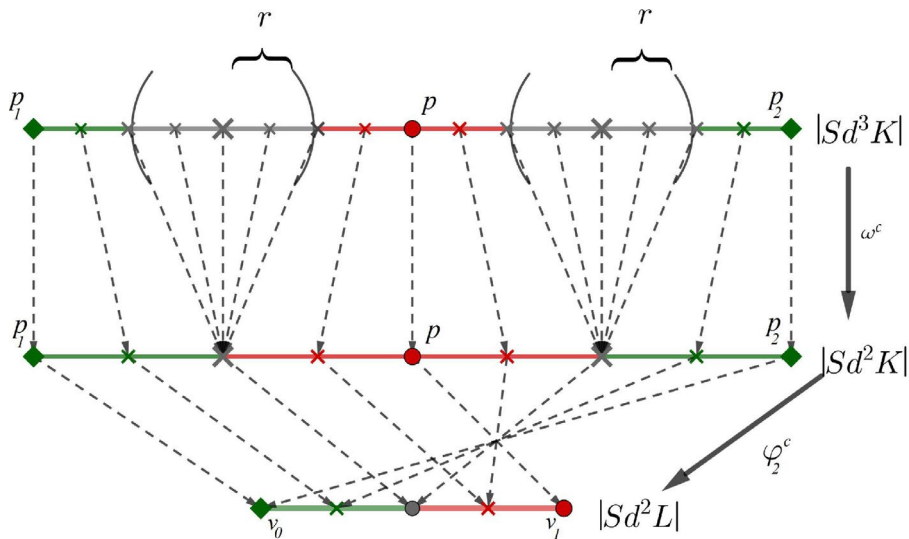
Robustness against adversarial examples



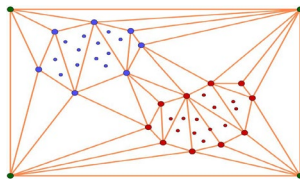
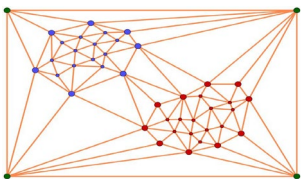
Theorem

Let D be a labelled dataset. Then, there exists a two-hidden-layer neural network characterizing D and robust to adversarial attacks of size $r > 0$, for r being small enough.

Robustness against adversarial examples



Optimizing its structure



Algorithm: Simplicial-map optimization

Input: A dataset D , a convex Polytope \mathcal{P} surrounding D , and $\mathcal{N}_\varphi : |K| \rightarrow |L|$ that correctly classifies D .

Output: $\tilde{\mathcal{N}}_{\tilde{\varphi}}$

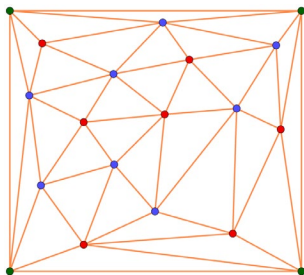
Step 1: Create a set M with all $\sigma = \{v_0, \dots, v_n\} \subset D_P \cup V_P$ maximal simplex of K such that for some $i \neq j$ $\mathcal{N}_\varphi(v_i) \neq \mathcal{N}_\varphi(v_j)$.

Step 2: Create the dataset $\tilde{D} = \{(v, \ell) : v \in M^{(0)} \text{ and } (v, \ell) \in D\}$.

Step 3: Compute $\tilde{K} = \mathcal{D}(\tilde{D}_P \cup V_P)$.

Step 4: Define the simplicial-map neural network $\tilde{\mathcal{N}}_{\tilde{\varphi}} : |\tilde{K}| \rightarrow |L|$ that correctly classifies \tilde{D} .

Optimizing its structure



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Data Set Size	3-Simplices	3-Simplices (Reduced)	Data Set Size (Reduced)
14	34	29	13
104	551	391	75
1004	6331	1647	272
10,004	66,874	30,357	4556
100,004	672,097	147,029	21,955
1,000,004	6,762,603	1,858,204	274,635

Take-home message and future work

- 1 SMNNs are constructive by definition and universal approximation.
- SMNNs can be refined to gain robustness against adversarial examples.
- Its bottleneck is the computation of the triangulation and they are strongly data dependant.

Future work:

- Can SMNNs be trained?
Is it possible to optimize its architecture or avoid the Delaunay triangulation?

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- Can SMNNs be trained?
Is it possible to optimize its architecture or avoid the Delaunay triangulation?

Thank you!

Bibliography I

- 1 Eduardo Paluzo-Hidalgo, Rocio Gonzalez-Diaz, and Miguel A. Gutiérrez-Naranjo. "Two-hidden-layer feed-forward networks are universal approximators: A constructive approach". In: *Neural Networks* 131 (2020), pp. 29–36. doi: [10.1016/j.neunet.2020.07.021](https://doi.org/10.1016/j.neunet.2020.07.021). url: <https://doi.org/10.1016/j.neunet.2020.07.021>.
- 2 Eduardo Paluzo-Hidalgo et al. "Optimizing the Simplicial-Map Neural Network Architecture". In: *J. Imaging* 7.9 (2021), p. 173. doi: [10.3390/jimaging7090173](https://doi.org/10.3390/jimaging7090173). url: <https://doi.org/10.3390/jimaging7090173>.
- 3 Eduardo Paluzo-Hidalgo et al. "Simplicial-Map Neural Networks Robust to Adversarial Examples". In: *Mathematics* 9.2 (2021). issn: 2227-7390. doi: [10.3390/math9020169](https://doi.org/10.3390/math9020169). url: <https://doi.org/10.3390/math9020169> .