# Cascades of singular rational surfaces of Picard number one 

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## Outline

(1) Motivation
(2) toric case
(3) Fano type

We only consider projective varieties defined over the field $\mathbb{C}$ of complex numbers.

## Outline

(1) Motivation

## Motivation: algebraic Montgomery-Yang problem

## Conjecture (Algebraic Montgomery-Yang problem, Kollár 2008)

Let $S$ be a normal projective surface with at worst quotient singularities such that $b_{2}(S)=1$. If the smooth locus of $S$ is simply-connected, then $S$ has at most 3 singular points.

## $\mathbb{Q}$-homology $\mathbb{P}^{2}$

## Definition

A normal projective surface $S$ with quotient singularities is called a $\mathbb{Q}$-homology projective plane ( $\mathbb{Q}$-homology $\mathbb{P}^{2}$ ) if $b_{2}(S)=1$.

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- It realizes the minimal possible Hodge diamond since $p_{g}=q=0$.



## Theorem (Prasad-Yeung(2007), Cartwright-Steger(2010))

Besides $\mathbb{P}^{2}$, there are exactly 100 smooth $\mathbb{Q}$-homology projective planes (fake projective planes) up to isomorphisms.

## Trichotomy of $K_{S}$

## Definition

Let $S$ be a $\mathbb{Q}$-homology $\mathbb{P}^{2}$.
(1) $S$ is said to be of Fano type if $-K_{S}$ is ample.
(2) $S$ is said to be of Calabi-Yau type if $K_{S}$ is numerically trivial.
(3) $S$ is said to be of general type if $K_{S}$ is ample.

Let $S$ be a $\mathbb{Q}$-homology $\mathbb{P}^{2}$ and $S^{\prime}$ be its minimal resolution.

- If $S$ is of Fano type, then $\kappa\left(S^{\prime}\right)=-\infty$.
- If $S$ is of Calabi-Yau type, then $\kappa\left(S^{\prime}\right)=-\infty, 0$.
- If $S$ is of general type, then $\kappa\left(S^{\prime}\right)=-\infty, 0,1,2$.

Each case of $\kappa\left(S^{\prime}\right)$ can be realizable.

## Known results

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## Theorem (H \& Keum 2011)

Let $S$ be a $\mathbb{Q}$-homology $\mathbb{P}^{2}$. Then $S$ has at most 5 singular points and $S$ has exactly 5 singular points iff $S$ is an Enriques surface with singularities of type $3 A_{1}+2 A_{3}$.

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## Theorem (H \& Keum 2011, 2013, 2014)

AMY holds true if either $S$ has a non-cyclic singular points, $S$ is non-rational or $-K_{S}$ is nef.

## Remaining cases

AMY is open only for rational surfaces of Picard number one with cyclic singularities such that $K_{S}$ is ample.

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- There exist infinite families of such surfaces with $|\operatorname{Sing}(S)| \leq 3$. [Keel-McKernan(1999), Kollár(2008), H.-Keum(2012), Alexeev-Liu(2019)]


## Remaining cases

AMY is open only for rational surfaces of Picard number one with cyclic singularities such that $K_{S}$ is ample.

- There exist infinite families of such surfaces with $|\operatorname{Sing}(S)| \leq 3$. [Keel-McKernan(1999), Kollár(2008), H.-Keum(2012), Alexeev-Liu(2019)]
- No such surface with 4 singular points is known, even without the simply-connectedness assumption on the smooth locus.


## Definition

## Definition (Cascades, general version)

Let $S$ be a rational $\mathbb{Q}$-homology $\mathbb{P}^{2}$. We say $S$ admits a cascade if there exists a diagram as follows:

$$
\begin{array}{cccc}
S^{\prime}=S_{t}^{\prime} \xrightarrow{\phi_{t}} S_{t-1}^{\prime} & \xrightarrow{\phi_{t-1}} \ldots \xrightarrow{\phi_{1}} S_{0}^{\prime} \\
\pi_{t} \downarrow & \pi_{t-1} \downarrow & & \pi_{0} \downarrow \\
S:=S_{t} & S_{t-1} & \ldots & \\
& & & S_{0}
\end{array}
$$

where for each $k$,
(1) $\phi_{k}$ is a blowdown,
(2) $\pi_{k}$ is the contraction of all $(-n)$-curves with $(-n) \leq-2$,
(3) $S_{k}$ is a $\mathbb{Q}$-homology $\mathbb{P}^{2}$,
(4) $S_{0}$ is a $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of Fano type.

## Cascade conjecture and AMY problem

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Every rational $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of general type admits a cascade.

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## Theorem (H)

Cascade conjecture implies the algebraic Montgomery-Yang problem.

- Detailed information obtained in the previous work + detailed analysis of $\mathbb{P}^{1}$-fibration.


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Unfortunately, I found an example of a rational $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of Calabi-Yau type that does not admit a cascade.

Still, the existence is verified in the following cases:

- toric case
- Fano type (in preparation)


## Outline

(1) Motivation
(2) toric case
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## Toric case

## Definition

Let $S$ be a toric log del Pezzo surface of Picard number one. We say that $S$ admits a cascade if there exists a diagram as follows:

$$
\begin{array}{ccc}
S^{\prime}=S_{t}^{\prime} \xrightarrow{\phi_{t}} S_{t-1}^{\prime} \xrightarrow{\phi_{t-1}} \ldots \xrightarrow{\phi_{1}} S_{0}^{\prime} \\
\pi_{t} \downarrow & \pi_{t-1} \downarrow & \\
S_{t}:=S & S_{t-1} & \ldots
\end{array}
$$

where for each $k$
(1) $\phi_{k}$ is a toric blow-down,
(2) $\pi_{k}$ is the minimal resolution,
(3) $S_{k}$ is a toric log del Pezzo surface of Picard number one, and
(9) $S_{0}$ is basic.

## minimal surfaces and basic toric surfaces




## Results

## Theorem (H.)

Let $S$ be a toric $\mathbb{Q}$-homology $\mathbb{P}^{2}$. Then, $S$ admits a cascade unless $S \cong \mathbb{P}(1,1, n)$ where each morphism in the cascade diagram is toric and there are 3 basic surfaces.

## Corollary (H.)

Let $S$ be a toric $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of Fano type. Then, $|\operatorname{Sing}(S)| \leq 3$ and
(1) If $|\operatorname{Sing}(S)|=0$, then $S \cong \mathbb{P}^{2}$.
(2) If $|\operatorname{Sing}(S)|=1$, then $S \cong \mathbb{P}(1,1, n)$ where $n \geq 2$.
(3) If $|\operatorname{Sing}(S)|=2$, then $S \cong \mathbb{P}(1, p, q)$ and it admits a cascade to $S_{n}(0,2)$.
( - If $|\operatorname{Sing}(S)|=3$, then $S$ admits a cascade to either $S_{n}(2,2)$ or $S_{2}(2,4)$.

## Characterization for K-stability

## Theorem (H., preprint)

Let $S$ be a Kähler-Einstein toric log del Pezzo surface of Picard number one. Then
(1) $K_{S}^{2}=3 e_{\text {orb } b}$.
(2) $S$ is either isomorphic to $\mathbb{P}^{2}$ or $S$ has exactly 3 singular points.
(3) If $S$ is not isomorphic to $\mathbb{P}^{2}$, it admits a cascade to $S_{2}(2,4)$, not to $S_{n}(2,2)$.

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(3) If $S$ is not isomorphic to $\mathbb{P}^{2}$, it admits a cascade to $S_{2}(2,4)$, not to $S_{n}(2,2)$.

- In general, the Bogomolov-Miyaoka-Yau inequality

$$
K_{S}^{2} \leq 3 e_{o r b}
$$

does not hold for (toric) log del Pezzo surfaces of Picard number one.

## Generalization?

Unfortunately, this cannot be generalized to higher Picard rank case, even in the toric case.


## Semicascades of toric del Pezzo surfaces

## Definition

Let $S$ be a toric log del Pezzo surface. We say that $S$ admits a semicascade if there exists a diagram as follows:

$$
\begin{array}{cccc}
S^{\prime}=S_{t}^{\prime} \xrightarrow{\phi_{t}} S_{t-1}^{\prime} \xrightarrow{\phi_{t-1}} \ldots \xrightarrow{\phi_{1}} S_{0}^{\prime} \\
\pi_{t} \downarrow & \pi_{t-1} \downarrow & & \pi_{0} \downarrow \\
S_{t}:=S & S_{t-1} & \ldots & \\
& & & S_{0}
\end{array}
$$

where for each $k$
(1) $\phi_{k}$ is a (toric) blow-down.
(2) $\pi_{k}$ is the minimal resolution,
(3) Either $\rho\left(S_{k-1}\right)=\rho\left(S_{k}\right)$ or $\rho\left(S_{k-1}\right)=\rho\left(S_{k}\right)-1$,
(9) $S_{0}$ is either a surface of type $(O)$ or $\mathbb{P}(1,1, n)$.

## Proposition

A toric graph of type $(O)$ is one of the following graphs:

$G\left(\mathbb{P}^{2}\right)$

$G_{n}(0,0)$

$G_{n}(2,2)$

$G_{n}(0,1), n=0,1,2$


$G_{n}(1,1), n=0,1,2$


$G_{n}(0,2)$

Figure: Toric graphs of type $(O)$

## Results

## Theorem

Every singular toric log del Pezzo surface admits a semicascade.

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## Theorem

Let $S$ be a singular toric log del Pezzo surface of Picard number $\rho$ with $t$ singular points. Then $\rho \leq t+2$ and the equality holds if and only if $S$ is the blow up of $\mathbb{P}(1,1, n)$ at the two smooth torus-fixed points where $n \geq 2$.

- It generalizes the results of Dias and Suyama obtained for $t \leq 3$.



## Application to K-stability

Recall that we always have $\rho \geq t-2$.

## Theorem

Let $S$ be a singular Kähler-Einstein toric log del Pezzo surface of Picard number $\rho$ with $t$ singular points. Then we have $\rho=t-2$. Moreover, $S$ admits a semicascade to one of $S_{1}(2,2), S_{2}(2,3)$, or $S_{2}(2,4)$.

## Corollary

Let $S$ be a Kähler-Einstein toric log del Pezzo surface. Then the maximal cones of the corresponding fan are either all smooth or all singular.

## Outline

## (1) Motivation

(2) toric case
(3) Fano type

## Cascades for Fano type

## Definition

Let $S$ be a rational $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of Fano type. We say $S$ admits a cascade if there exists a diagram as follows:

$$
\begin{array}{cccc}
S^{\prime}=S_{t}^{\prime} \xrightarrow{\phi_{t}} S_{t-1}^{\prime} & \xrightarrow{\phi_{t-1}} \ldots \xrightarrow{\phi_{1}} S_{0}^{\prime} \\
\pi_{t} \downarrow & \pi_{t-1} \downarrow & & \pi_{0} \downarrow \\
S:=S_{t} & S_{t-1} & \ldots & S_{0}
\end{array}
$$

where for each $k$,
(1) $\phi_{k}$ is a blowdown.
(2) $\pi_{k}$ is the contraction of all $(-n)$-curves with $(-n) \leq-2$.
(3) $S_{k}$ is a $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of Fano type.
4. $S_{0}$ is basic, i.e. either Gorenstein or "KT"-type.

There are 12 types of basic $\mathbb{Q}$-homology $\mathbb{P}^{2}$ 's of Fano type.

## Smooth del Pezzo surfaces

## Theorem (Pasquale del Pezzo(1885, 1887))

Every smooth del Pezzo surface is either a Hirzebruch surface or a blowup of $\mathbb{P}^{2}$ at most 8 general points.

## Corollary

Let $S$ be a smooth del Pezzo surface. Then, $S$ admits a morphism to $\mathbb{P}^{2}$ as follows:

$$
S:=S_{d} \rightarrow S_{d+1} \rightarrow \ldots \rightarrow S_{9}=\mathbb{P}^{2}
$$

unless $S$ is a Hirzebruch surface.

## Classification of $\mathbb{Q}$-homology $\mathbb{P}^{2}$ 's of Fano type

Theorem (H., in preparation)
Let $S$ be a $\mathbb{Q}$-homology $\mathbb{P}^{2}$ of Fano type. Then, $S$ admits a cascade unless $S \cong \mathbb{P}(1,1, n)$.

In principle, this gives a "classification" of such surfaces by inverting the cascade process.

## Idea of main theorem

It is enough to show that following.

## Theorem

Under the assumption in Cascade Conjecture, we further assume that the canonical divisor is ample and there exists a ( -1 )-curve E with E.D $\leq 2$ where $\mathcal{D}$ is the reduced exceptional divisor of the minimal resolution of $S$. Then, $S$ has at most three singular points.

## Reference

(1) D. Hwang, Algebraic Montgomery-Yang problem and cascade conjecture, arXiv:2012.13355.
(2) D. Hwang, Cascades of toric log del Pezzo surfaces of Picard number one, arXiv:2012.13428.
(3) D. Hwang, Semicascades of toric log del Pezzo surfaces, to appear in Bull. Korean Math. Soc. doi:10.4134/BKMS.b210211.

## Thank you.

